# Introduction to Mobile Robotics

# **Iterative Closest Point Algorithm**

Wolfram Burgard, Cyrill Stachniss,

Maren Bennewitz, Kai Arras



#### **Motivation**





#### **The Problem**

Given: two corresponding point sets:

$$X = \{x_1, ..., x_n\}$$
$$P = \{p_1, ..., p_n\}$$

 Wanted: translation t and rotation R that minimizes the sum of the squared error:

$$E(R,t) = \frac{1}{N_p} \sum_{i=1}^{N_p} ||x_i - Rp_i - t||^2$$

Where  $x_i$  and  $p_i$  are corresponding points.

# **Key Idea**

 If the correct correspondences are known, the correct relative rotation/translation can be calculated in closed form.



#### **Center of Mass**

$$\mu_x = \frac{1}{N_x} \sum_{i=1}^{N_x} x_i \quad \text{and} \quad \mu_p = \frac{1}{N_p} \sum_{i=1}^{N_p} p_i$$

are the centers of mass of the two point sets. Idea:

- Subtract the corresponding center of mass from every point in the two point sets before calculating the transformation.
- The resulting point sets are:

$$X' = \{x_i - \mu_x\} = \{x'_i\}$$
  
and  
$$P' = \{p_i - \mu_p\} = \{p'_i\}$$



Let 
$$W = \sum_{i=1}^{N_p} x'_i p'^T_i$$

denote the singular value decomposition (SVD) of W by:

$$W = U \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix} V^T$$

where  $U, V \in \mathbb{R}^{3 \times 3}$  are unitary, and  $\sigma_1 \geq \sigma_2 \geq \sigma_3$  are the singular values of W.

#### SVD

#### **Theorem** (without proof):

If rank(W) = 3, the optimal solution of E(R,t) is unique and is given by:

$$\begin{aligned} R &= UV^T \\ t &= \mu_x - R\mu_p \end{aligned}$$

The minimal value of error function at (R,t) is:

$$E(R,t) = \sum_{i=1}^{N_p} (||x'_i||^2 + ||y'_i||^2) - 2(\sigma_1 + \sigma_2 + \sigma_3)$$

#### **ICP with Unknown Data Association**

 If correct correspondences are not known, it is generally impossible to determine the optimal relative rotation/translation in one step



# **ICP-Algorithm**

- Idea: iterate to find alignment
- Iterated Closest Points (ICP) [Besl & McKay 92]
- Converges if starting positions are "close enough"

## **Iteration-Example**



# **ICP-Variants**

- Variants on the following stages of ICP have been proposed:
  - 1. Point subsets (from one or both point sets)
  - 2. Weighting the correspondences
  - 3. Data association
  - 4. Rejecting certain (outlier) point pairs

# **Performance of Variants**

- Various aspects of performance:
  - Speed
  - Stability (local minima)
  - Tolerance wrt. noise and/or outliers
  - Basin of convergence (maximum initial misalignment)
- Here: properties of these variants

#### **ICP Variants**

- 1. Point subsets (from one or both point sets)
  - 2. Weighting the correspondences
  - 3. Data association
  - 4. Rejecting certain (outlier) point pairs

# **Selecting Source Points**

- Use all points
- Uniform sub-sampling
- Random sampling
- Feature based Sampling
- Normal-space sampling
  - Ensure that samples have normals distributed as uniformly as possible

#### **Normal-Space Sampling**



#### uniform sampling normal-space sampling

# Comparison

 Normal-space sampling better for mostlysmooth areas with sparse features [Rusinkiewicz et al.]



Random sampling



Normal-space sampling

# **Feature-Based Sampling**

- try to find "important" points
- decrease the number of correspondences
- higher efficiency and higher accuracy
- requires preprocessing



# **Application**



#### [Nuechter et al., 04]

#### **ICP Variants**

- 1. Point subsets (from one or both point sets)
- 2. Weighting the correspondences
  - 3. Data association
  - 4. Rejecting certain (outlier) point pairs

# **Selection vs. Weighting**

- Could achieve same effect with weighting
- Hard to guarantee that enough samples of important features except at high sampling rates
- Weighting strategies turned out to be dependent on the data.
- Preprocessing / run-time cost tradeoff (how to find the correct weights?)

## **ICP Variants**

- 1. Point subsets (from one or both point sets)
- 2. Weighting the correspondences
- **3**. Data association
  - 4. Rejecting certain (outlier) point pairs

# **Data Association**

- has greatest effect on convergence and speed
- Closest point
- Normal shooting
- Closest compatible point
- Projection
- Using kd-trees or oc-trees

# **Closest-Point Matching**

Find closest point in other the point set



Closest-point matching generally stable, but slow and requires preprocessing

# **Normal Shooting**

Project along normal, intersect other point set



Slightly better than closest point for smooth structures, worse for noisy or complex structures

# **Point-to-Plane Error Metric**

 Using point-to-plane distance instead of point-to-point lets flat regions slide along each other [Chen & Medioni 91]





# Projection

- Finding the closest point is the most expensive stage of the ICP algorithm
- Idea: simplified nearest neighbor search
- For range images, one can project the points according to the view-point [Blais 95]



# **Projection-Based Matching**

- Slightly worse alignments per iteration
- Each iteration is one to two orders of magnitude faster than closest-point
- Requires point-to-plane error metric

# **Closest Compatible Point**

- Improves the previous two variants by considering the compatibility of the points
- Compatibility can be based on normals, colors, etc.
- In the limit, degenerates to feature matching

## **ICP Variants**

- 1. Point subsets (from one or both point sets)
- 2. Weighting the correspondences
- 3. Nearest neighbor search
- 4. Rejecting certain (outlier) point pairs

# **Rejecting (outlier) point pairs**

- sorting all correspondences with respect to there error and deleting the worst t%, Trimmed ICP (TrICP) [Chetverikov et al. 2002]
- t is to Estimate with respect to the Overlap
  - Problem: Knowledge about the overlap is necessary or has to be estimated

# **ICP-Summary**

- ICP is a powerful algorithm for calculating the displacement between scans.
- The major problem is to determine the correct data associations.
- Given the correct data associations, the transformation can be computed efficiently using SVD.