

# Foundations of Artificial Intelligence

## 6. Constraint Satisfaction Problems

CSPs as Search Problems, Solving CSPs, Problem Structure

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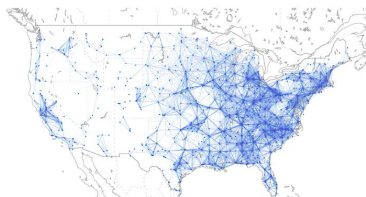
May 15, 2019

# A motivating example of CSP (here: graph coloring)

- FCC Spectrum Auction in 2017
  - Wireless frequency spectra: demand increases
  - US Federal Communications Commission (FCC) held 13-month auction

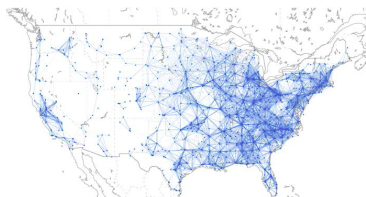
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  - 2991 stations (nodes) & 2.7 million interference constraints: stations in neighboring regions cannot use too similar frequencies
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  - Need to check feasibility whenever an offer is made
  - More instances checkable: higher revenue
- **Formulated as a CSP and solved with SAT solvers** (improved by meta-algorithmics, see future lecture)
  - Improved ratio of instances solved from 73% to 99.6%
  - **Net income for US government: \$7 billion** (used to pay down national debt)



- 1 What are CSPs?
- 2 Backtracking Search for CSPs
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# Constraint Satisfaction Problems

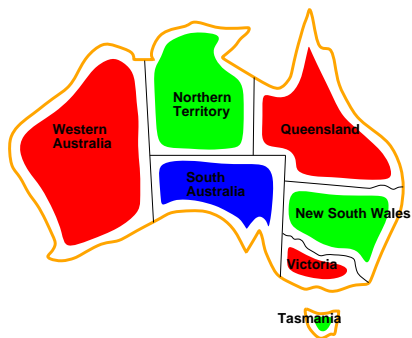
- A Constraint Satisfaction Problems (CSP) is given by
  - a set of **variables**  $\{x_1, x_2, \dots, x_n\}$ ,
  - an associated set of **value domains**  $\{dom_1, dom_2, \dots, dom_n\}$ , and
  - a set of **constraints**. i.e., relations, over the variables.
  - An **assignment** of values to variables that **satisfies** all constraints is a solution of such a CSP.
- If CSPs are viewed as search problems, states are explicitly represented as variable assignments. CSP search algorithms take advantage of this structure.
- The main idea is to exploit the constraints to eliminate large portions of search space.
- *Formal representation language* with associated general inference algorithms

# Example: Map-Coloring



- **Variables:**  $WA, NT, SA, Q, NSW, V, T$
- **Values:**  $\{red, green, blue\}$
- **Constraints:** adjacent regions must have different colors, e.g.,  $NSW \neq V$

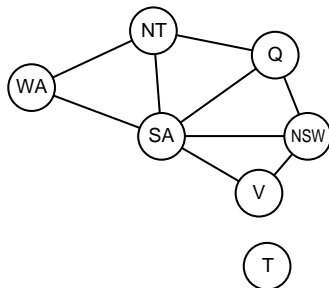




- Solution assignment:

- $\{WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green\}$

# Constraint Graph



- a **constraint graph** can be used to visualize binary constraints
- for higher order constraints, hyper-graph representations might be used
- **Nodes** = variables, **arcs** = constraints

- Binary, ternary, or even higher **arity** (e.g., ALL\_DIFFERENT)
- **Finite** domains ( $d$  values)  $\rightarrow d^n$  possible variable assignments
- **Infinite** domains (reals, integers)
  - *linear constraints (each variable occurs only in linear form)*: solvable (in P if real)
  - *nonlinear constraints*: unsolvable

- Timetabling (classes, rooms, times)
- Configuration (hardware, cars, ...)
- Nurse rostering
- Scheduling (sports, etc)
- Sudoku
- ...

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# Backtracking Search over Assignments

- Assign values to variables **step by step** (order does not matter)
- Consider only one variable per search node!
- **DFS** with single-variable assignments is called **backtracking search**

**function** BACKTRACKING-SEARCH( $csp$ ) **returns** a solution, or failure  
**return** BACKTRACK( $\{\}$ ,  $csp$ )

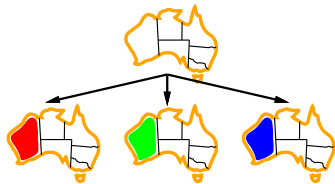
**function** BACKTRACK( $assignment$ ,  $csp$ ) **returns** a solution, or failure  
**if**  $assignment$  is complete **then return**  $assignment$   
 $var \leftarrow$  SELECT-UNASSIGNED-VARIABLE( $csp$ )  
**for each**  $value$  **in** ORDER-DOMAIN-VALUES( $var$ ,  $assignment$ ,  $csp$ ) **do**  
  **if**  $value$  is consistent with  $assignment$  **then**  
    add  $\{var = value\}$  to  $assignment$   
     $inferences \leftarrow$  INFERENCE( $csp$ ,  $var$ ,  $value$ )  
    **if**  $inferences \neq failure$  **then**  
      add  $inferences$  to  $assignment$   
       $result \leftarrow$  BACKTRACK( $assignment$ ,  $csp$ )  
      **if**  $result \neq failure$  **then**  
        **return**  $result$   
    remove  $\{var = value\}$  and  $inferences$  from  $assignment$   
**return**  $failure$

# Example (1)

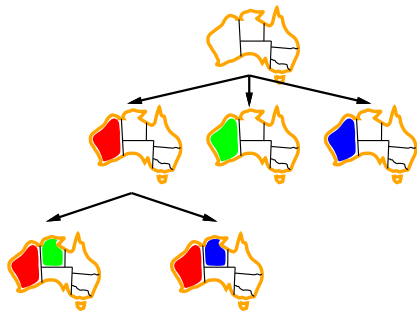




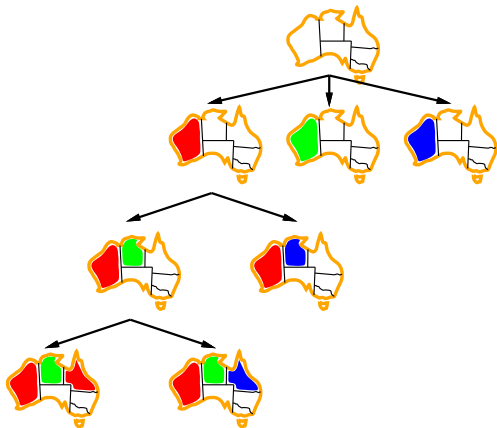
## Example (2)



# Example (3)



# Example (4)



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# Improving Efficiency: CSP Heuristics & Pruning Techniques

- **Variable ordering**: Which one to assign first?
- **Value ordering**: Which value to try first?
- Try to **detect failures** early on
- Try to exploit **problem structure**

→ **Note**: all this is not problem-specific!

# Variable Ordering:

## Most constrained first

- Most constrained variable:
  - choose the variable with the **fewest remaining legal values**
  - reduces branching factor!



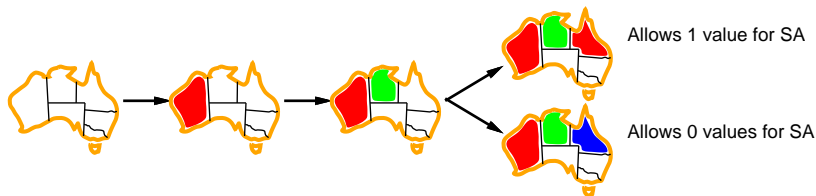
# Variable Ordering: Most Constraining Variable First

- Break ties among variables with the same number of remaining legal values:
  - choose variable with the **most constraints on remaining unassigned variables**
- reduces branching factor in the next steps



# Value Ordering: Least Constraining Value First

- Given a variable,
  - choose first a value that rules out the **fewest values** in the remaining unassigned variables
- We want to find an assignment that satisfies the constraints (of course, this does not help if the given problem is unsatisfiable.)



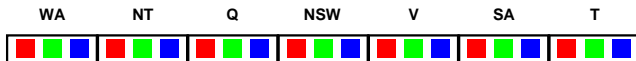


# Rule out Failures early on: Forward Checking

- Whenever a value is assigned to a variable, values that are now **illegal** for other variables are **removed**
- **Implements** what the ordering heuristics implicitly compute
- $WA = \textit{red}$ , then  $NT$  cannot become *red*
- If all values are removed for one variable, we can **stop!**

# Forward Checking (1)

- Keep track of remaining values
- Stop if all have been removed



# Forward Checking (2)

- Keep track of remaining values
- Stop if all have been removed



# Forward Checking (3)

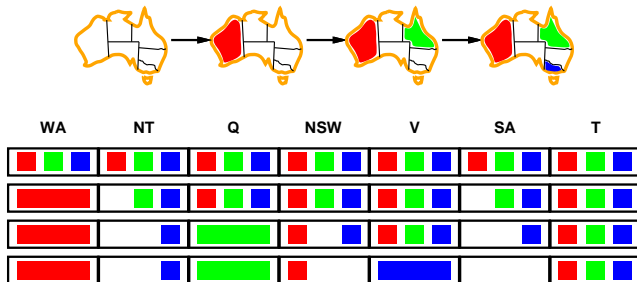
- Keep track of remaining values
- Stop if all have been removed



WA	NT	Q	NSW	V	SA	T
Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Red Green Blue
Red Red	Green Blue	Red Green Blue	Red Green Blue	Red Green Blue	Green Blue	Red Green Blue
Red Red	Blue	Green Green	Red Blue	Red Green Blue	Blue	Red Green Blue

# Forward Checking (4)

- Keep track of remaining values
- Stop if all have been removed

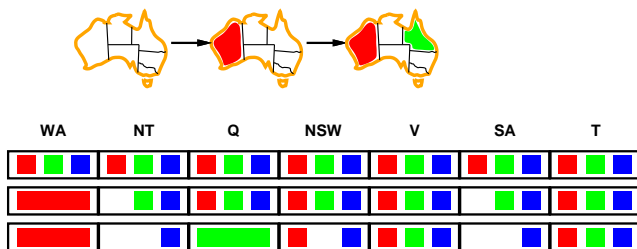


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# Forward Checking: Sometimes it Misses Something

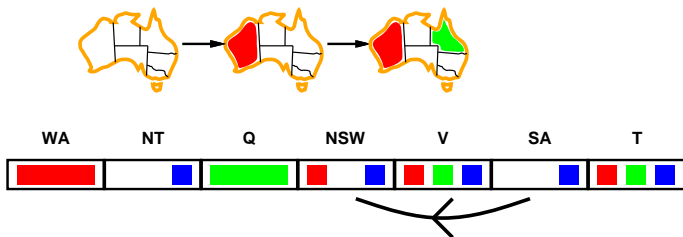
- Forward Checking propagates information from assigned to unassigned variables
- However, there is no propagation between unassigned variables



- A directed arc  $X \rightarrow Y$  is “consistent” iff
  - for every value  $x$  of  $X$ , there exists a value  $y$  of  $Y$ , such that  $(x, y)$  satisfies the constraint between  $X$  and  $Y$
- Remove values from the domain of  $X$  to enforce arc-consistency
- Arc consistency detects failures earlier
- Can be used as preprocessing technique or as a propagation step during backtracking



# Arc Consistency Example



**function** AC-3(*csp*) **returns** false if an inconsistency is found and true otherwise

**inputs:** *csp*, a binary CSP with components ( $X$ ,  $D$ ,  $C$ )

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

    ( $X_i$ ,  $X_j$ )  $\leftarrow$  REMOVE-FIRST(*queue*)

**if** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **then**

**if** size of  $D_i = 0$  **then return** false

**for each**  $X_k$  **in**  $X_i$ .NEIGHBORS -  $\{X_j\}$  **do**

            add ( $X_k$ ,  $X_i$ ) to *queue*

**return** true

---

**function** REVISE(*csp*,  $X_i$ ,  $X_j$ ) **returns** true iff we revise the domain of  $X_i$

*revised*  $\leftarrow$  false

**for each**  $x$  **in**  $D_i$  **do**

**if** no value  $y$  in  $D_j$  allows ( $x,y$ ) to satisfy the constraint between  $X_i$  and  $X_j$  **then**

            delete  $x$  from  $D_i$

*revised*  $\leftarrow$  true

**return** *revised*

- What is the computational complexity of AC-3?
  - Let  $n$  denote the number of nodes, and let  $d$  denote the maximal number of elements in a domain
  - Hint: what is the complexity of function REVISE, how often can it return true in the worst case, and how often is it thus called in the worst case?

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- AC-3 runs in  $O(d^3n^2)$  time
  - REVISE takes  $O(d^2)$  (for each element  $x \in D_i$ , you need to check each element  $y \in D_j$ )
  - Each time REVISE returns true one element of  $X_i$  is eliminated; there are only max.  $d$  elements for each of the  $n$  variables
  - Each time REVISE returns true up to  $n$  constraints are added to the queue
  - Altogether, in the worst case, REVISE can only be called a maximum of  $O(n^2d)$  times, each taking time  $O(d^2)$

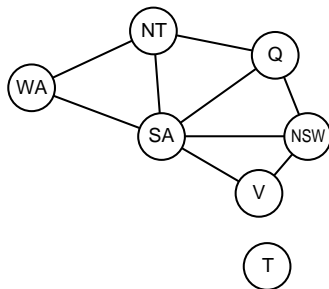
# Properties of AC-3

- What is the computational complexity of AC-3?
  - Let  $n$  denote the number of nodes, and let  $d$  denote the maximal number of elements in a domain
  - Hint: what is the complexity of function REVISE, how often can it return true in the worst case, and how often is it thus called in the worst case?
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  - Altogether, in the worst case, REVISE can only be called a maximum of  $O(n^2d)$  times, each taking time  $O(d^2)$
- Of course, AC-3 does not detect all inconsistencies (which is an NP-hard problem)

# Lecture Overview

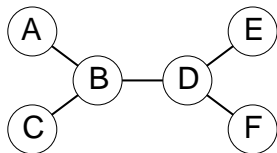
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# Problem Structure (1)



- This example CSP has two independent components
- Identifiable as connected components of constraint graph
- Can reduce the search space dramatically

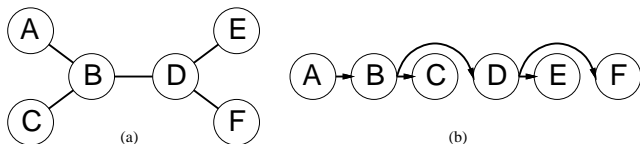
## Problem Structure (2): Tree-structured CSPs



- If the CSP graph is a tree, then it can be solved in  $O(nd^2)$  (general CSPs need in the worst case  $O(d^n)$ ).
- *Idea:* Pick root, order nodes, apply arc consistency from leaves to root, and assign values starting at root.



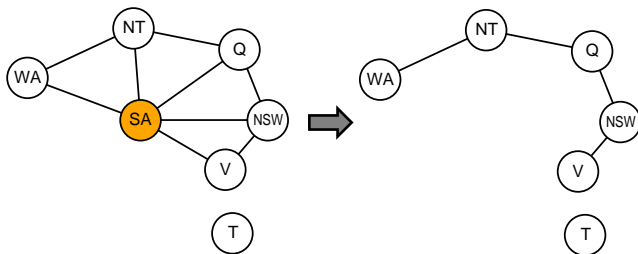
## Problem Structure (2): Tree-structured CSPs



- Pick any variable as root; choose an ordering such that each variable appears after its parent in the tree.
- Apply **arc-consistency** to  $(x_i, x_k)$  when  $x_i$  is the parent of  $x_k$  for all  $k = n$  down to 2 (any tree with  $n$  nodes has  $n - 1$  arcs and per arc  $d^2$  comparisons are needed, which results in a complexity of  $O(nd^2)$ ).
- Now we can start at  $x_1$  **assigning values** from the remaining domains without creating any conflict in one sweep through the tree!
- This algorithm is **linear** in  $n$ .

## Problem Structure (3): Almost Tree-structured

Idea: Reduce the graph structure to a tree by fixing values in a reasonably chosen subset



Instantiate a variable and prune values in neighboring variables is called **Conditioning**

# Problem Structure (4): Almost Tree-structured

## Algorithm **Cutset Conditioning**:

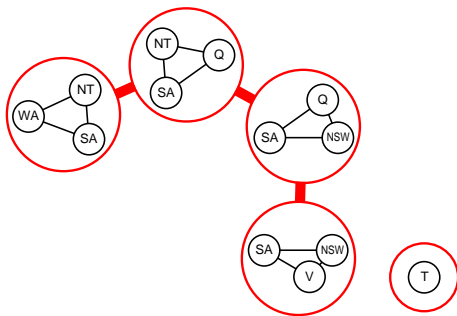
- 1 Choose a subset  $S$  of the CSPs variables such that the constraint graph becomes a tree after removal of  $S$ . The set  $S$  is called a **cycle cutset**.
- 2 For each possible assignment of variables in  $S$  that satisfies all constraints on  $S$ 
  - 1 remove from the domains of the remaining variables any values that are inconsistent with the assignments for  $S$ , and
  - 2 if the remaining CSP has a solution, return it together with the assignment for  $S$



**Note:** Finding the smallest cycle cutset is NP hard, but several efficient approximation algorithms are known.

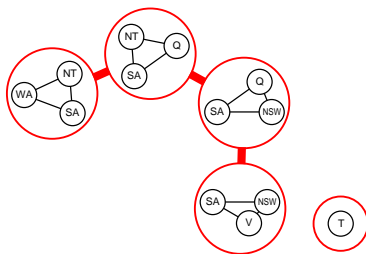
# Another Method: Tree Decomposition (1)

- Decompose the problem into a set of connected **sub-problems**, where two sub-problems are connected when they share a constraint
- Solve the sub-problems independently and then combine the solutions



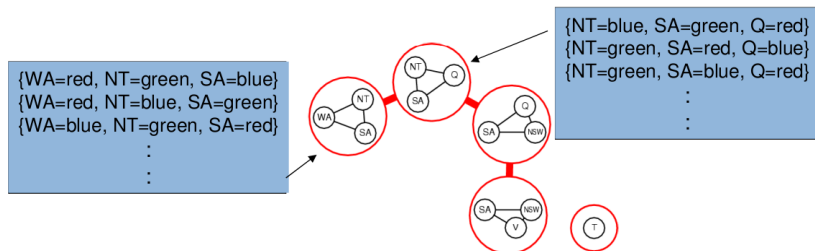
# Another Method: Tree Decomposition (2)

- A **tree decomposition** must satisfy the following conditions:
  - **Every variable** of the original problem appears in at least one sub-problem
  - **Every constraint** appears in at least one sub-problem
  - If a variable appears in two sub-problems, it must appear in **all sub-problems on the path** between the two sub-problems
  - The connections form a **tree**



# Another Method: Tree Decomposition (3)

- Consider sub-problems as new **mega-variables**, which have values defined by the solutions to the sub-problems
- Use technique for **tree-structured CSP** to find an overall solution (constraint is to have identical values for the same variable)



- The aim is to make the subproblems as small as possible. The **tree width**  $w$  of a tree decomposition is the size of largest sub-problem minus 1
- **Tree width of a graph** is minimal tree width over all possible tree decompositions
- If a graph has tree width  $w$  and we know a tree decomposition with that width, we can solve the problem in  $O(nd^{w+1})$
- **Unfortunately, finding a tree decomposition** with minimal tree width is **NP-hard**. However, there are heuristic methods that work well in practice.

# Summary

- CSPs are a special kind of search problem:
  - states are value assignments
  - goal test is defined by constraints
- Backtracking = DFS with one variable assigned per node. Other intelligent backtracking techniques possible
- Variable/value ordering heuristics can help dramatically
- Constraint propagation prunes the search space
- Tree structure of CSP graph simplifies problem significantly
- Cutset conditioning and tree decomposition are two ways to transform part of the problem into a tree
- CSPs can also be solved using local search