## Foundations of Artificial Intelligence 9. Predicate Logic Syntax and Semantics, Reduction to Propositional Logic

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We can already do a lot with propositional logic. It is, however, annoying that there is no structure in the atomic propositions.

Example:

"All blocks are red" "There is a block A" It should follow that "A is red"

But propositional logic cannot handle this.

Idea: We introduce individual variables, predicates, functions, ... .

 $\rightarrow$  First-Order Predicate Logic (PL1)









Symbols:

- Operators:  $\neg$ ,  $\lor$ ,  $\land$ ,  $\forall$ ,  $\exists$ , =
- Variables:  $x, x_1, x_2, ..., x', x'', ..., y, ..., z, ...$
- Brackets: (), [], (), []
- Function symbols (e.g., *weight()*, *color()*)
- Predicate symbols (e.g., *Block*(), *Red*())
- Predicate and function symbols have an arity (number of arguments).
   0-ary predicate = propositional logic atoms: P,Q,R,...
   0-ary function = constants: a, b, c, ...
- We assume a countable set of predicates and functions of any arity.
- "=" is usually not considered a predicate, but a logical symbol

Terms (represent objects):

- 1. Every variable is a term.
- 2. If  $t_1, t_2, \ldots, t_n$  are terms and f is an *n*-ary function, then

$$f(t_1, t_2, \ldots, t_n)$$

is also a term.

Terms without variables: ground terms.

Atomic Formulae (represent statements about objects)

- 1. If  $t_1, t_2, \ldots, t_n$  are terms and P is an n-ary predicate, then  $P(t_1, t_2, \ldots, t_n)$  is an atomic formula.
- 2. If  $t_1$  and  $t_2$  are terms, then  $t_1 = t_2$  is an atomic formula. Atomic formulae without variables: ground atoms.

Formulae:

- 1. Every atomic formula is a formula.
- 2. If  $\varphi$  and  $\psi$  are formulae and x is a variable, then

 $\neg\varphi, \varphi \land \psi, \varphi \lor \psi, \varphi \Rightarrow \psi, \varphi \Leftrightarrow \psi, \exists x \varphi \text{ and } \forall x \varphi$ 

are also formulae.

 $\forall,\;\exists$  are as strongly binding as  $\neg.$ 

Propositional logic is part of the PL1 language:

- 1. Atomic formulae: only 0-ary predicates
- 2. Neither variables nor quantifiers.

Here	Elsewhere
$\neg \varphi$	$\sim \varphi  \overline{\varphi}$
$\varphi \wedge \psi$	$arphi \& \psi  arphi ullet \psi  arphi, \psi$
$\varphi \vee \psi$	$arphi \psi = arphi;\psi = arphi+\psi$
$\varphi \Rightarrow \psi$	$\varphi  ightarrow \psi  \varphi \supset \psi$
$\varphi \Leftrightarrow \psi$	$\varphi \leftrightarrow \psi  \varphi \equiv \psi$
$\forall x\varphi$	$(\forall x)\varphi \wedge x\varphi$
$\exists x\varphi$	$(\exists x)\varphi \lor x\varphi$

Our example:  $\forall x[Block(x) \Rightarrow Red(x)], Block(a)$ 

For all objects x: If x is a block, then x is red and a is a block. Generally:

- Terms are interpreted as objects.
- Universally-quantified variables denote all objects in the universe.
- Existentially-quantified variables represent one of the objects in the universe (made true by the quantified expression).
- Predicates represent subsets of the universe.

Similar to propositional logic, we define interpretations, satisfiability, models, validity, ...

## Semantics of PL1-Logic

Interpretation:  $I=\langle D,\bullet^I\rangle$  where D is an arbitrary, non-empty set and  $\bullet^I$  is a function that

- maps  $n\text{-}\mathrm{ary}$  function symbols to functions over D:  $f^I\in [D^n\mapsto D]$
- maps individual constants to elements of  $D \text{:} a^I \in D$
- maps  $n\text{-}\mathrm{ary}$  predicate symbols to relations over D:  $P^I\subseteq D^n$

Interpretation of ground terms:

$$(f(t_1,\ldots,t_n))^I = f^I(t_1^I,\ldots,t_n^I)$$

Satisfaction of ground atoms  $P(t_1, \ldots, t_n)$ :

$$I \models P(t_1, \ldots, t_n) \text{ iff } \langle t_1^I, \ldots, t_n^I \rangle \in P^I$$

$$D = \{d_1, \dots, d_n \mid n > 1\}$$

$$a^I = d_1$$

$$b^I = d_2$$

$$c^I = \dots$$

$$Block^I = \{d_1\}$$

$$Red^I = D$$

$$I \models Red(b)$$

$$I \not\models Block(b)$$

$$D = \{1, 2, 3, ...\}$$

$$1^{I} = 1$$

$$2^{I} = 2$$
....
$$Even^{I} = \{2, 4, 6, ...\}$$

$$succ^{I} = \{(1 \mapsto 2), (2 \mapsto 3), ...\}$$

$$I \models Even(2)$$

$$I \not\models Even(succ(2))$$

### Semantics of PL1: Variable Assignment

Set of all variables V. Function  $\alpha : V \mapsto D$ Notation:  $\alpha[x/d]$  is the same as  $\alpha$  apart from point x. For  $x : \alpha[x/d](x) = d$ .

Interpretation of terms under  $I, \alpha$ :

$$x^{I,\alpha} = \alpha(x)$$
$$a^{I,\alpha} = a^{I}$$
$$(f(t_1, \dots, t_n))^{I,\alpha} = f^I(t_1^{I,\alpha}, \dots, t_n^{I,\alpha})$$

Satisfaction of atomic formulae:

$$I, \alpha \models P(t_1, \dots, t_n) \text{ iff } \langle t_1^{I, \alpha}, \dots, t_n^{I, \alpha} \rangle \in P^I$$

$$Block^{I} = \{d_1\}$$
$$Red^{I} = D$$

$$\alpha = \{ (x \mapsto d_1), (y \mapsto d_2) \}$$
$$I, \alpha \models Red(x)$$
$$I, \alpha[y/d_1] \models Block(y)$$

A formula  $\varphi$  is satisfied by an interpretation I and a variable assignment  $\alpha$ , i.e.,  $I, \alpha \models \varphi$ :

$$\begin{split} I, \alpha &\models \top \\ I, \alpha \not\models \bot \\ I, \alpha &\models \neg \varphi \text{ iff } I, \alpha \not\models \varphi \end{split}$$

and all other propositional rules as well as

. . .

$$\begin{array}{ll} I, \alpha \models P(t_1, \dots, t_n) & \text{ iff } & \langle t_1^{I, \alpha}, \dots, t_n^{I, \alpha} \rangle \in P^I \\ I, \alpha \models \forall x \varphi & \text{ iff } & \text{for all } d \in D, \ I, \alpha[x/d] \models \varphi \\ I, \alpha \models \exists x \varphi & \text{ iff } & \text{there exists a } d \in D \text{ with } I, \alpha[x/d] \models \varphi \end{array}$$

### Example

$$D = \{d_1, \dots, d_n \mid n > 1\}$$

$$a^I = d_1$$

$$b^I = d_2$$

$$Block^I = \{d_1\}$$

$$Red^I = D$$

$$\alpha = \{(x \mapsto d_1), (y \mapsto d_2)\}$$

Questions:

- 1.  $I, \alpha \models Block(b) \lor \neg Block(b)$ ?
- 2.  $I, \alpha \models Block(x) \Rightarrow (Block(x) \lor \neg Block(y))$ ?
- 3.  $I, \alpha \models Block(a) \land Block(b)$ ?
- 4.  $I, \alpha \models \forall x (Block(x) \Rightarrow Red(x))$ ?

# $\forall x \big[ R(\underline{y}, \underline{z}) \land \exists y \big( (\neg P(y, x) \lor R(y, \underline{z}) \big) \big]$

The boxed appearances of y and z are free. All other appearances of x,y,z are bound.

Formulae with no free variables are called closed formulae or sentences. We form theories from closed formulae.

Note: With closed formulae, the concepts *logical equivalence, satisfiability, and implication, etc.* are not dependent on the variable assignment  $\alpha$  (i.e., we can always ignore all variable assignments).

With closed formulae,  $\alpha$  can be left out on the left side of the model relationship symbol:

$$I\models\varphi$$

An interpretation I is called a model of  $\varphi$  under  $\alpha$  if

$$I, \alpha \models \varphi$$

A PL1 formula  $\varphi$  can, as in propositional logic, be satisfiable, unsatisfiable, falsifiable, or valid.

Analogously, two formulae are logically equivalent ( $\varphi \equiv \psi$ ) if for all  $I, \alpha$ :

$$I, \alpha \models \varphi \text{ iff } I, \alpha \models \psi$$

Note:  $P(x) \not\equiv P(y)!$ 

Logical Implication is also analogous to propositional logic.

Question: How can we define derivation?





- We now know the semantics of PL1. How can we do inference in PL1?
- One way: Normalization + Skolemization + Resolution with Unification
- Alternative: Reduction to propositional logic by instantiation based on the so-called Herbrand Universe (all possible terms) → infinite propositional theories
- It turns out that logical implication in PL1 is undecidable!
- Simple way for special case: If the number of objects is finite, instantiate all variables by possible objects (in fact, often used in AI systems, e.g. planning or ASP)

- Let us assume that we only want to talk about a finite number of objects.
- Domain closure axiom (DCA):

$$\forall x[x = c_1 \lor x = c_2 \lor \ldots \lor x = c_n]$$

• Often one also assumes that different names denote different objects (unique name assumption/axiom or UNA):

$$\bigwedge_{i \neq j} [c_i \neq c_j]$$

- $\rightarrow\,$  Only important when counting or using  $\neq\,$  or = as a predicate.
  - Elimate quantification by instantiating all variables with all possible values.

- Notation: if  $\varphi$  is a formula, then  $\varphi[x/a]$  is the formula with all free occurences of x replaced by a.
- Universally quantified formulas are replaced by a conjunction of formulas with the variable instantiated to all possible values (from DCA): ∀xφ → Λ<sub>i</sub> φ[x/c<sub>i</sub>]
- Existentially quantified variables are replaced by a disjunction of formulas with the variable instantiated to all possible values (from DCA):  $\exists x \varphi \rightsquigarrow \bigvee_i \varphi[x/c_i]$
- Note: does blow up the formulas exponentially in the arity of the predicates!

$$\begin{array}{l} \forall x \quad (Block(x) \Rightarrow Red(x)) \\ \forall x \quad (x = a \lor x = b \lor x = c) \\ \rightsquigarrow \\ (Block(a) \Rightarrow Red(a)) \land \\ (Block(b) \Rightarrow Red(b)) \land \\ (Block(c) \Rightarrow Red(c)) \end{array}$$

### Syntax and Semantics



- PL1 makes it possible to structure statements, thereby giving us considerably more expressive power than propositional logic.
- Logical implication in PL1 is undecidable.
- If we only reason over a finite universe, PL1 can be reduced to propositional logic over finite theories (but the reduction is exponential in the arity of the predicates).